



Bank Verification Letter Template

Instructions: This sample template is for use only when a VOID check copy is not available for direct deposit setup. Please ensure this letter is submitted on bank letterhead and signed by a bank officer.

Please note: In order to comply with our industry's rules and regulations, the Firm does not generally permit securities related compensation to be deposited into any non-individual account. This would include prohibiting the deposit of commissions into a trust account, a corporate account, or a "DBA" account. Only an appropriately securities-licensed person or entity may be paid commissions

Submit the Bank Verification Letter and the Commission Direct Deposit Authorization form to the Compensation Department, by creating a ServiceNet request and attaching the completed forms.

[Bank Letterhead]

Bank Name
Bank Branch Address

Date

Advisor Group
Attn: Compensation
2300 Windy Ridge Parkway Suite 1100
Atlanta, GA 30339

RE: Direct Deposit Setup for Joe Smith, Rep ID XYZ at [B/D Name]

As of [Date], Joe Smith (and Judy Smith) maintain(s) a [Type of Account] with [Bank Name], account number 12345678. The corresponding ABA/Routing number is 12223334.

[Signature]

Bob Jones

Branch Manager/Assistant Manager